

(Revised and Reformatted on 4-7-2015)

**NOAA Records Schedules**  
**Chapter 2200**  
**Records of the Chief Information Officer**

This schedule provides disposal authorization for certain records created and maintained by Federal Chief Information Officers (CIO) and their program offices. This schedule applies to the records of CIOs at agency or departmental headquarters as well as those of deputy and subordinate CIOs at the bureau or field office level. This schedule does not apply to officials with Government-wide responsibilities for information resources management (IRM) and information technology (IT) governance.

In accordance with the Clinger-Cohen Act (originally the Information Technology Management Reform Act), CIOs of 24 major departments and agencies have IRM as their primary duty. They are responsible for advising and assisting the agency's executive with IT acquisition and management; developing and maintaining the agency's IT architecture; promoting the efficient design and operation of the agency's major information resources management processes; monitoring the performance of IT programs of the agency; and developing the knowledge and skill of IT staff. CIOs may also lead, coordinate, or participate in programs to support the mandates of the Paperwork Reduction Act, Government Paperwork Elimination Act, Federal Records Act, E-Government Act of 2002, Privacy Act, Government Performance and Results Act, and other Federal laws and regulations relating to IRM.

This schedule does not cover all records relating to the work of CIOs. CIOs are often responsible for programs and activities whose records are already covered by an approved GRS. Records not described in this or any other GRS must be scheduled by submission to NARA of an SF 115, Request for Records Disposition Authority. If records are part of a larger case file or recordkeeping system that contains records not covered in this schedule, agencies must separately schedule those records or systems by submitting an SF 115 to NARA. If records covered by more than one item in this schedule are maintained together in one file or recordkeeping system, agencies must retain the records for the longest retention period authorized for those items.

## Contents

- 2200-01 Information Technology (IT) Program PLANNING Records
- 2200-02 Enterprise Architecture Records
- 2200-03 IT Capital Investment Records
- 2200-04 Legal and Regulatory Compliance Records
- 2200-05 CIO Committee Records
- 2200-06 CIO Subject and Office Records
- 2200-07 Schedules of Daily Activities

Series #	Records Series Title	Records Description	Disposition Authority	Disposition Instruction
2200-01	Information Technology (IT) Planning Records.	<p>Records relating to the development of agency IT programs. Included are records that document agency-wide IT goals; specify milestones to be achieved; identify performance measures for the agency's IT portfolio; or summarize the underlying principles and approach by which the agency will plan for and manage its IT resources. Records may include strategic and tactical plans documenting the implementation and maintenance of IT systems in support of the agency mission and also may include records supporting formally issued plans, such as records of concurrence, comments, clearances, justifications, and other issuance records.</p> <p>[<b>Note:</b> This item does not apply to the data content or design of individual IT systems. Records relating to specific mission-related systems must be scheduled individually by submission of an SF 115 to NARA. (N1-GRS-04-4 item 1 Note)]</p>	GRS 27, item 1	<b>TEMPORARY.</b> Cut off annually. Destroy/delete when 7 years old or when no longer needed, whichever is later.
2200-02	Enterprise	Records identifying the IT systems and networks	GRS 27, item 2	<b>TEMPORARY.</b>

	Architecture Records.	required to perform the agency's mission and the transitional processes required to implement comprehensive programs to support that mission. Records may include technical reference models, diagrams, graphics, models, and narratives that describe the agency's baseline architecture, target architecture, and related sequencing plans.		Cut off when superseded by a new iteration of the enterprise architecture. Destroy/delete when 7 years old or when no longer needed, whichever is later.
2200-03	IT Capital Investment Records.	Records documenting the integration of IT investments with agency-wide strategic planning, budgeting, procurement, and management. Records include routine and periodic reports on IT capital investments; capital asset plans; business cases for major investments, systems, acquisitions, or operational assets identified in the agency's capital investment portfolio; and clearance and review records.  [Note: Records needed to support contracts are scheduled under GRS 3.	GRS 27, item 3	<b>TEMPORARY.</b> Cut off annually. Destroy/delete when 7 years old or when no longer needed, whichever is later.
2200-04	Legal and Regulatory Compliance Records.	Records documenting agency compliance with Federal IRM laws and regulations, including systems and reports created to support compliance with the	GRS 27, item 4	<b>TEMPORARY.</b> Cut off annually. Destroy/delete

		mandates of OMB, GAO, and other Federal IRM and IT oversight agencies.		when 5 years old.
2200-05	CIO Committee Records.	<p>Records not otherwise identified in this GRS that include briefings, reports, presentations, studies, correspondence, and other documents created to support IT program objectives; responses to and decisions on matters affecting the IT program; or operational and managerial guidance to all organizational segments of the agency.</p> <p><b>[Note:</b> Records of Government-wide committees sponsored by CIOs, such as the Federal Chief Information Officers Council, are not covered by this item.</p>	GRS 27, item 5	<b>TEMPORARY.</b> Cut off annually. Destroy/delete when 5 years old.
2200-06	CIO Subject and Office Records.	<p>Records not otherwise identified in this GRS that include briefings, reports, presentations, studies, correspondence, and other documents created to support IT program objectives; responses to and decisions on matters affecting the IT program; or operational and managerial guidance to all organization segments of the agency.</p> <p><i>Note: Official agency policy records generated by the CIO are not covered by this item. They are considered agency policy and issuance records and are covered</i></p>	GRS 27, item 6	<b>TEMPORARY.</b> Cut off annually. Destroy/delete when 5 years old.

		<i>elsewhere.</i>		
2200-07	Schedules of Daily Activities.	<p>Calendars, appointment books, schedules, logs, diaries, and other records documenting meetings, appointments, telephone calls, trips, visits, and other activities by the CIO while serving in an official capacity, EXCLUDING materials determined to be personal and those that have been incorporated into other recordkeeping systems.</p> <p><b>Note:</b> This item applies only to records of the CIO, not of the office's subordinate staff. See GRS 23/5 for coverage of the latter.</p>	GRS 27, item 7	<p><b>TEMPORARY.</b> Cut off annually. Destroy/delete when not less than 2 years old but not more than 5 years old.</p>